



# PERIYAR UNIVERSITY

State University – NAAC A++ Grade – NIRF Rank 94  
State Public University Rank 40-SDG institutions Rank Band: 11-50

**SALEM – 636 011**

Phone : 0427-2345766, 2346268, 2346269 /Fax: 0427-2345124  
Website: www.periyaruniversity.ac.in

**PERFORMANCE BASED APPRAISAL SYSTEM (PBAS) OF  
ACADEMIC PERFORMANCE INDICATORS (API) FOR PROMOTION UNDER  
CAREER ADVANCEMENT SCHEME (CAS) AS PER TAMILNADU GOVERNMENT ORDER  
Ms.No.5, HIGHER EDUCATION (H1) DEPARTMENT**

**PERIOD OF ASSESSMENT: FROM \_\_\_\_\_ TO \_\_\_\_\_  
APPLICATION FOR PROMOTION UNDER CAREER ADVANCEMENT SCHEME  
(CAS) FROM LEVEL \_\_\_\_\_ TO LEVEL \_\_\_\_\_  
(To be submitted in 3 sets along with all enclosures)**

**PART A : GENERAL INFORMATION AND ACADEMIC BACKGROUND**

01.	Name (in Block letters)	:
02.	Date and Place of Birth	:
03.	Sex	:
04.	Mother Name	:
05.	Father Name	:
06.	Marital status	:
07.	Spouse Name	:
08.	Nationality	:
09.	Indicate whether you belong to SC/ST/MBC/BC category (include sub category if applicable)	:
10.	Department	:
11.	Current Designation & Level	:
12.	Date of last Promotion	:

13.	Position and level applied :			
14.	Date of eligibility for promotion :			
15.	<b>Address (in Block letters)</b>			
<b>a. For Communication</b>		Mr. /Ms. /Dr. ..... ..... ..... .....PINCODE:..... Telephone No. with STD Code:..... Mobile No: ..... E-mail: .....		
<b>b. Permanent</b>		Mr. /Ms. /Dr. ..... ..... ..... .....PINCODE:.....		
<b>16. Academic Qualifications (Starting from Under Graduation) :</b>				
<b>Degree</b>	<b>Name of the University</b>	<b>Year of Passing</b>	<b>% of marks / CGPA</b>	<b>Subject(s) (Major subject)</b>

**17. Research Degree(s)Details :**

Degree	Title	Date of Award	University/Authority
M.Phil.			
Ph.D.			
D.Sc. / D. Litt.			
Other Exams, if any			

**18. Positions held prior to joining this Institution**

Designation	Name of the Employer	Date of		Pay with Grade/level	Reason for leaving
		Joining	Leaving		

**19. Position held after appointment in this Institution**

Designation	Department	Period		Pay band with AGP	Pay Level
		From	To		

**20. Teaching Experience: # P.G.:\_\_\_\_\_Years;U.G.:\_\_\_\_\_Years**  
**(MM/YY)**  
 (# as on the last date mentioned in the advertisement)

**21. Research Experience excluding years spent in M.Phil. /**  
**Ph.D. (MM/YY):**

**22. Orientation /Refresher Course attended**

Name of the Course/Summer School	Organizer & Place	Duration		Sponsoring Agency
		From	To	

## PART B: PROFOMA FOR PBAS BASED ACADEMIC PERFORMANCE INDICATORS

*(Please see Table 1 of Appendix II of the UGC Regulation, 2018)*

**TABLE 1.1: TEACHING IN EACH ACADEMIC YEAR\*(During Assessment Period)**

Sl. No.	Academic Year	Semester	Course / Paper	No. of hours assigned per semester	Actual hours taken per semester	% of classes taken	Grading (for office use only)
	Year 1	Odd Sem					
		Even Sem					
	Year 2	Odd Sem					
		Even Sem					
	Year 3	Odd Sem					
		Even Sem					
	Year 4	Odd Sem					
		Even Sem					
	Year 5	Odd Sem					
		Even Sem					
	Year 6	Odd Sem					
		Even Sem					

*\*Certificate from the HOD should be enclosed*

*Note: Classes taught/taken includes tutorials, lab and other teaching related activities.*

**TABLE 1.2: INVOLVEMENT IN UNIVERSITY STUDENTS RELATED  
ACTIVITIES / RESEARCH ACTIVITIES**  
(Details/Evidence should be produced only for the assessment  
period)

- a) Administrative responsibility (including as HOD,  
Dean/Principal/Chairperson / Convener/  
Director/Coordinator/Warden/Deputy Warden other duties that  
require regular office hours for its discharge)**

Sl. No.	Nature of Responsibility	Duration	
		From	To

- b) Examination and Evaluation duties assigned and performed**

Sl. No.	Type of examination duties	Month/Year	Duties Performed

- c) Student related co-curricular, extension and field-based  
activities, such as student clubs, career counselling, study visits,  
student seminars and other events, cultural, sports, NCC, NSS,  
YRC and community services**

Sl. No.	Nature of Responsibility	Duration		Activities
		From	To	

- d) Organizing seminars / conferences / workshops and other  
University activities**

Sl. No.	Name of the Event	Duration		Responsibility carried out
		From	To	

**e) Evidence of actively involved in guiding Ph.D students**

Sl. No.	Programme	Students Enrolled	Thesis Submitted	Degree awarded
	M. Phil.			
	Ph. D.			

**f) Conducting research projects sponsored by national and international agencies**

Sl. No.	Title	Funding Agency	Amount Sanctioned	Period	
				From	To

**g) Provide one best single/joint publication in peer reviewed or UGC CARE list of journals**

Sl. No.	Title, Journal with Volume, Year and Page nos.	ISSN

**TABLE 2: RESEARCH, PUBLICATIONS AND ACADEMIC CONTRIBUTIONS**

**1. RESEARCH PAPERS PUBLISHED IN PEER REVIEWED / UGC CARE LISTED JOURNALS\*:**

Sl. No.	Title, Journal with Volume, Year and Page nos.	ISSN	PEER Reviewed/ UGC CARE	Impact Factor (Thompson Reuters)	Sole / first author or corresponding author	No. of co-authors	API Score Claimed*	API Score Awarded (For office use only)
<b>TOTAL</b>								

\* 8 Points per paper – Science disciplines  
10 Points per paper – other disciplines

**Research score for research papers would be augmented as follows:**

Peer-Reviewed or UGC-listed Journals (Impact factor to be determined as per Thomson Reuters list):

- i) Paper in refereed journals without impact factor - 5 Points
- ii) Paper with impact factor less than 1 - 10 Points
- iii) Paper with impact factor between 1 and 2 - 15 Points
- iv) Paper with impact factor between 2 and 5 - 20 Points
- v) Paper with impact factor between 5 and 10 - 25 Points
- vi) Paper with impact factor >10 - 30 Points

(a) **Two authors:** 70% of total value of publication for each author.

(b) **More than two authors:** 70% of total value of publication for the First/Principal/Corresponding author and 30% of total value of publication for each of the joint authors.

**2. PUBLICATIONS (OTHER THAN RESEARCH PAPERS)**

**a) BOOKS / BOOK CHAPTERS/EDITED BOOKS AUTHORED WHICH ARE PUBLISHED BY INTERNATIONAL / NATIONAL PUBLISHERS, WITH ISBN/ISSN NUMBER**

**i) BOOKS\***

Sl. No.	Title of the Book	Published Year	National/ International	Publisher Name	ISBN/ ISSN No.	Sole/first author/ co-author	API Score Claimed*	API Score Awarded (For office use)
TOTAL								

\*12 per Book for single/first author (International Publisher); 10 per Book for single/first author (National Publisher) – for Co-authors 50% of points

**ii) BOOK CHAPTERS in EDITED BOOKS**

Sl. No.	Chapter Title with page nos.	Book Title	ISSN / ISBN No.	Editor, Publisher, year	Sole / first author	No. of co-authors	API Score Claimed*	API Score Awarded (For office use only)
TOTAL								

\* 5 per Chapter for single author, 50% for co-authors

**iii) EDITED BOOKS**

Sl. No.	Title	Publisher & year of publication	ISSN / ISBN No.	Sole / first Editor	No. of co-editors	API Score Claimed*	API Score Awarded (For office use only)
<b>TOTAL</b>							

\* 10 per Book for single/first Editor (International Publisher); 8 per Book for single/first Editor (National Publisher)- 50% for co-editors

**b) TRANSLATION WORKS IN INDIAN AND FOREIGN LANGUAGES****i) CHAPTER OR RESEARCH PAPER**

Sl. No.	Title with page nos.	Book Title, editor, publisher & year	ISSN / ISBN No.	Sole / first author/co-author	API Score Claimed*	API Score Awarded (For office use only)
<b>TOTAL</b>						

**ii) BOOK**

Sl. No.	Title with page nos.	Book Title, editor, publisher & year	ISSN / ISBN No.	Sole / first author /co-author	API Score Claimed*	API Score Awarded (For office use only)
<b>TOTAL</b>						

\* 3 per Chapter; 8 per Book for sole and first author / 50% for co-authors

### **3. CREATION OF ICT MEDIATED TEACHING LEARNING PEDAGOGY AND CONTENT DEVELOPMENT OF NEW AND INNOVATIVE COURSES AND CURRICULA**

**a) DEVELOPMENT OF INNOVATIVE PEDAGOGY**

Sl. No.	Name of Innovative Pedagogy Developed	Subject	API Score Claimed*	API Score Awarded (For office use only)
<b>TOTAL</b>				

\* 5 for each



**b) DESIGN OF NEW COURSES**

Sl. No.	Name of New Course	API Score Claimed*	API Score Awarded (For office use only)

*\*2 per new course*

**c) MOOCs****i) Development of complete MOOCs in 4 quadrants**

Sl. No.	Name of MOOCs Course	No. of Quadrants/Credits	Duration of Course	No. of module/lecture	Organizing Institute	API Score Claimed*	API Score Awarded (For office use only)
	<b>TOTAL</b>						

*\*5 marks/credit*

**ii) MOOCs (developed in 4 quadrant) per module/lecture**

Sl. No.	Name of MOOCs Course	No. of Quadrants/Credits	Duration of Course	No. of module/lecture	Organizing Institute	API Score Claimed*	API Score Awarded (For office use only)
	<b>TOTAL</b>						

*\*5 for each*

**iii) Content writer/subject matter expert for each module of MOOCs (at least one quadrant)**

Sl. No.	Name of MOOCs Course	No. of Quadrants/Credits	Duration of Course	No. of module/lecture	Organizing Institute	API Score Claimed*	API Score Awarded (For office use only)
	<b>TOTAL</b>						

*\*2 for each*

**iv) Course Coordinator for MOOCs**

Sl. No.	Name of MOOCs Course	No. of Quadrants/Credits	Duration of Course	No. of module/lecture	Organizing Institute	API Score Claimed *	API Score Awarded (For office use only)
	<b>TOTAL</b>						

*\*8 for each 4 credit course(In case of MOOCs of lesser credits 2 marks/credit)*

**d) E-CONTENT**

**i) Development of e-Content in 4 quadrants for a complete course/e-book**

Sl. No.	Name of the Module	No. of Quadrants/Credits	Duration of Course	No. of module/lecture	Organizing Institute	API Score Claimed*	API Score Awarded (For office use only)
	<b>TOTAL</b>						

*\*12 for each*

**ii) e-Content (developed in 4 quadrants) per module**

Sl. No.	Name of the Module	No. of Quadrants/Credits	Duration of Course	No. of module/lecture	Organizing Institute	API Score Claimed*	API Score Awarded (For office use only)
	<b>TOTAL</b>						

*\*5 for each*

**iii) Contribution to development of e-content module in complete course/paper/e-book (atleast one quadrant)**

Sl. No.	Name of the Module	No. of Quadrants/Credits	Duration of Course	No. of module/lecture	Organizing Institute	API Score Claimed*	API Score Awarded (For office use only)
	<b>TOTAL</b>						

*\*2 for each*

**iv) Editor of e-content for complete course/ paper /e-book**

Sl. No.	Name of the Module	No. of Quadrants/Credits	Duration of Course	No. of module/lecture	Organizing Institute	API Score Claimed*	API Score Awarded (For office use only)
	<b>TOTAL</b>						

*\*10 for each*

**4. a) RESEARCH GUIDANCE:**

	Awarded (No.)	Thesis Submitted (No.)	Supervisor/Guide or Joint Supervisor / Co-guide	API Score Claimed*	API Score Awarded (For office use only)
<b>PhD</b>					
<b>M.Phil</b>					
<b>P.G. Dissertation</b>					
	<b>TOTAL</b>				

- **Ph.D** - 10 per degree awarded; 5 per thesis submission
- **M.Phil./P.G. Dissertation** -2 per degree awarded

*Note: For joint supervision of research students, the formula shall be 70% of the total score for Supervisor and Co-supervisor. Supervisor and Co-supervisor, both shall get 7 marks each.*

**b) RESEARCH PROJECTS COMPLETED:**

**i) More than 10 lakhs**

Sl. No.	Title	Agency	Period	Grant/Amount Mobilized (Rs. in lakhs)	API Score Claimed*	API Score Awarded (For office use only)
	<b>TOTAL</b>					

*\* 10 per Project for PI/50% for co-PIs per project*

**ii) Less than 10 lakhs**

Sl. No.	Title	Agency	Period	Grant/Amount Mobilized (Rs. in lakhs)	API Score Claimed*	API Score Awarded (For office use only)
<b>TOTAL</b>						

*\* 5 per Project / 50% for co-PIs per project*

**c) RESEARCH PROJECTS ONGOING\*:**

**i) More than 10 lakhs**

Sl. No.	Title	Agency	Period	Grant/Amount Mobilized (Rs. in lakhs)	API Score Claimed*	API Score Awarded (For office use only)
<b>TOTAL</b>						

*\* 5 per Project / 50% for co-PIs per project*

**ii) Less than 10 lakhs**

Sl. No.	Title	Agency	Period	Grant/Amount Mobilized (Rs. in lakhs)	API Score Claimed*	API Score Awarded (For office use only)
<b>TOTAL</b>						

*\* 2 per Project / 50% for co-PIs per project*

**d) Consultancy**

Sl. No.	Title and Nature of consultancy	Agency	Period	Amount (Rs.)	API Score Claimed*	API Score Awarded (For office use only)
<b>TOTAL</b>						

*\*3 per consultancy*

### 5. a) IPR (Patents, Copyrights, Designs etc.,)

Sl. No.	Title	IPR Name and Number	National/International	Agency	Year of Award	API Score Claimed*	API Score Awarded (For office use only)
<b>TOTAL</b>							

*\*10 for International; 7 for National*

### b) Policy document (Submitted to an International body/organization like UNO/UNESCO/World Bank/International Monetary Fund etc. or Central / State Govt.)

Sl. No.	Title	Agency	National / International / State	API Score Claimed*	API Score Awarded (For office use only)
<b>TOTAL</b>					

*\* 10 for each International / 7 for National/Central Govt. / 4 for State Govt.*

### C) Awards/Fellowship

Sl. No	Title of Fellowship / Award	Year of Award	Academic body/ Association/Agency	National/ International	API Score Claimed*	API Score Awarded (For office use only)
<b>TOTAL</b>						

*\* 7 for International /5 for National*

**6. Invited lectures / Resource Person / Paper presentations in Seminars/Conferences/Full paper in Conference Proceedings\***

S.No	Title	Invited/Lead/Key Note/Resource person/ Presented	Title of Seminar / Conference / Workshop	International (Abroad) / International (within India) / National / State / University level	Place and Date	API Score Claimed*	API Score Awarded (For office use only)
			<b>TOTAL</b>				

\*International (Abroad) – 7, International (within India) – 5, National – 3, State / University-level - 2

*Note:*

- Paper presented if part of edited book or proceeding then it can be claimed only once.
- \*\*For the purpose of calculating research score of the teacher, the combined research score from the categories of 5(b). Policy Document and 6. Invited lectures/Resource Person/Paper presentation shall have an upper capping of thirty percent of the total research score of the teacher concerned.
- The research score shall be from the minimum of three categories out of six categories.

**SUMMARY OF API SCORES**

Item No.	Criteria	Total-API Score for Assessment Period
1.	Journal Publications	
2.	Books/Books Chapters	
3.	ICT	
4.	Research	
5.	IPR and Awards	
6.	Lectures (Invited etc.,)	

## **PART C: OTHER RELEVANT INFORMATION**

### **1. PLEASE GIVE DETAILS OF ANY OTHER CREDENTIAL, SIGNIFICANT CONTRIBUTIONS, AWARDS RECEIVED ETC. NOT MENTIONED EARLIER.**

Sl. No	Details ( Mention year, value etc. where relevant)

#### **1. Membership/fellowship in professional Bodies**

#### **2. Future plans (in 150 words)**

### **LIST OF ENCLOSURES: (Please attach, copies of certificates, sanction orders, papers etc. Wherever necessary)**

1	6
2	7
3	8
4	9
5	10

#### **2. Detail about Departmental disciplinary actions/legal cases, if any**

I certify that the information provided is correct as per records available with the University and/or documents enclosed along with the duly filled PBAS proforma.

Place & Date:

Signature of the faculty with Designation

N.B: The individual PBAS proforma duly filled along with all enclosures, submitted for CAS promotions will be duly verified by the university/college as necessary and placed before the screening cum Evaluation Committee or Selection Committee for assessment/verification.

**3. Forwarded by Head of the Department:**

Certified that all the information/documents provided by Dr.

\_\_\_\_\_ was duly verified with original and found correct.

**Signature of the HOD**

**Ref.no.**

**Date:**

**Office Seal**

**4. Forwarded by Director, Periyar University Centre for Post Graduate and Research Studies, Dharmapuri:**

Certified that all the information provided by Dr.

\_\_\_\_\_ was duly verified.

**Signature of the Director**

**Date:**

**Office Seal**

**5. Receipt and dispatch details of the Registrar Office :**

**Date of receipt from the Dean of Faculty :**

**Date of forwarded to the Director IQAC :**

**Registrar**

**Ref. no.**