



# பெரியார் பல்கலைக்கழகம்

PERIYAR UNIVERSITY

SALEM - 636 011, TAMIL NADU

State University - NAAC Reaccredited 'A++' Grade - NIRF Rank '63' - ARIIA Rank '10'

Phone : 0427-2345766, 2346268, 2346269 /Fax: 0427-2345124

Website: www.periyaruniversity.ac.in

முனைவர் R.பாலகுருநாதன்  
பதிவாளர் (மு. கூ. பொ.)

நாள்: 13.02.2023.

## உள்சுற்றறிக்கை எண்:01/Estt.R5/2023

ஐயா/அம்மையீர்,

பொருள்: ஆசிரியர்கள் அமைச்சுப்பிரிவு - பெரியார் பல்கலைக்கழகத் துறைகள் மற்றும் பட்ட மேற்படிப்பு மற்றும் ஆராய்ச்சி மையத்தில் பணியாற்றும் பேராசிரியர்கள், இணைப்பேராசிரியர்கள் மற்றும் உதவிப்பேராசிரியர்கள் ஆகியோர்களுக்கு தமிழ்நாடு அரசு ஆணை எண்:5 - இன்படி, கல்வி நிலைத்தகுதி ஊதியம் அடுத்த நிலைக்கு உயர்த்துதல் - விண்ணப்பங்கள் வரவேற்றல் - உள்சுற்றறிக்கை அனுப்புதல் - தொடர்பாக.

- பார்வை: 1. பல்கலைக்கழக மானியக்குழு வழிகாட்டுதல், நாள்:18.07.2018.  
2. தமிழ்நாடு அரசாணை எண்: G.O(Ms) No.5, Higher Education(H.I) Department, நாள்:11.01.2021.  
3. துணைவேந்தர் அவர்களின் குறிப்பாணை நாள்: 10.02.2023.

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பார்வை (1) மற்றும் (2) - இன்படி, பெரியார் பல்கலைக்கழகம் மற்றும் பட்ட மேற்படிப்பு மற்றும் ஆராய்ச்சி மையத்தில் பல்வேறு துறைகளில் பணியாற்றும் பேராசிரியர்கள், இணைப்பேராசிரியர்கள் மற்றும் உதவிப்பேராசிரியர்களுக்கு கல்வி நிலைத் தகுதி (CAS-AGP) ஊதியம் அடுத்த நிலைக்கு உயர்த்தி வழங்குவது குறித்து விண்ணப்பங்கள் வரவேற்கப்படுகின்றன.

எனவே, பார்வை (2) - இல் கண்டுள்ள தமிழ்நாடு அரசு ஆணையின்படி, தகுதிவாய்ந்த பேராசிரியர்கள், இணைப்பேராசிரியர்கள் மற்றும் உதவிப்பேராசிரியர்கள் தங்களுடைய விவரங்கள் அனைத்தும் பூர்த்தி செய்யப்பட்ட விண்ணப்பங்களின் - 3 நகல்கள் உரிய இணைப்புகளுடன் 10.03.2023 தேதிக்குள் தங்களுடைய துறைத்தலைவர் மற்றும் புல முதன்மையர் (Dean) வழியாக இவ்வலுவலகத்தில் சமர்ப்பிக்குமாறு அறிவுறுத்தப் பணிக்கப்படுகிறீர்கள்.

குறிப்பு: கல்வி நிலைத்தகுதி ஊதியம் அடுத்த நிலைக்கு உயர்த்துதல் குறித்தான விண்ணப்பம் பெரியார் பல்கலைக்கழக இணையதளத்தில் வெளியிடப்பட்டுள்ளது.

*R. Mis*  
13/2  
பதிவாளர்

பெறுநர்

1. அனைத்து ஆசிரியர்கள்  
பெரியார் பல்கலைக்கழகம், சேலம் - 11.
2. அனைத்து ஆசிரியர்கள்  
பெரியார் பல்கலைக்கழக பட்ட மேற்படிப்பு மற்றும் ஆராய்ச்சி மையம், தர்மபுரி.

நகல்

1. அனைத்து துறைத்தலைவர்கள், பெரியார் பல்கலைக்கழகம், சேலம் - 11.
2. இயக்குநர்(பொ), பெரியார் பல்கலைக்கழக பட்ட மேற்படிப்பு மற்றும் ஆராய்ச்சி மையம், தர்மபுரி.
3. துணைவேந்தர் மற்றும் பதிவாளர் அவர்களின் நேர்முக உதவியாளர்கள்
4. கோப்பு.



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**Dr.R.Balagurunathan**  
**Registrar**

No.PU/R/Estt.R5/CAS-AGP/2023

Date: 13.02.2023

To

The Heads, University Departments, P.U.

Dear Sir/Madam,

Subject: Application form for promotion under UGC Career Advancement Scheme  
(G.O.(Ms).No.5, Higher Education(H1) Department, Dated:11.01.2021)

The faculty members for promotions under CAS can send in their applications any time i.e. three months before they become eligible.

Applications are invited on the enclosed Academic Performance based appraisal System (PBAS) of Academic Performance Indicators (API) for Promotion under Career Advancement Scheme (CAS) as per G.O.(Ms).No.5, Higher Education(H1) Department, Dated:11.01.2021 proforma through the Heads of the Department, from teachers eligible for promotion to Assistant Professor/equivalent cadres from (stage 1 to stage 2, stage 2 to stage 3), Assistant Professor stage 3 to Associate Professor (stage 4), Associate Professor (stage 4 to Professor/equivalent cadres (stage 5) and Professor (Stage 5 to Stage 6)

## **U.G.C.Guidelines 2018 and G.O.(Ms).No.5, Higher Education(H1) Department, Dated:11.01.2021**

1. The Ph.D. Degree shall be a mandatory qualification for appointment and promotion to the post of Professor, Associate Professors (3.7 and 3.8) and Assistant Professor (Selection Grade/Academic Level 12) in Universities. (3.9)
2. The promotion under the CAS being a personal promotion to a teacher holding a substantive sanctioned post, on his/her superannuation, the said post shall revert back to its original cadre.(6.3.IV)
3. The promotion under the CAS, the applicant teacher must be on the role and in active service of the University/College on the date of consideration by the Selection Committee. (6.3.V.)
4. The Candidate shall offer themselves for assessment for promotion, if they fulfill the minimum grading specified in the relevant Assessment Criteria and Methodology Tables, by submitting an application and the required assessment Criteria and Methodology Proforma. (6.3.VI)
5. a) If a candidate applies for promotion on completion of the minimum eligibility period and is successful, the date of promotion will be from that of minimum period of eligibility. (6.3.VI.(i)).  
b) If, however, the candidates finds that he/she would fulfill the CAS promotion criteria, as defined in Tables 1,2,4, and 5 of Appendix at a later date and applies on that date and is successful, his/her promotion shall be effected from that date of the candidate fulfilling the eligibility criteria. (6.3.VI.(ii))  
c) The candidate who does not succeed in the first assessment, he/she shall have to be re-assessed only after one year. When such a candidate succeeds in the eventual assessment, his/her promotion shall be deemed to be one year from the date of rejection. (6.3.VI.(iii))

The teachers eligible for promotion under the Career Advancement Scheme should submit 3 copies of application for promotion under Career Advancement Scheme (CAS) duly filled in all respect and other relevant documents in support of their claim by the stipulated date.

  
REGISTRAR

Encl:

**Annexure 1: G.O.(Ms)No.5, Higher Education(H1) Department, Dated:11.01.2021.**

**Annexure 2: Application Proforma for CAS**



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**PERFORMANCE BASED APPRAISAL SYSTEM (PBAS) OF  
ACADEMIC PERFORMANCE INDICATORS (API) FOR PROMOTION UNDER  
CAREER ADVANCEMENT SCHEME (CAS) AS PER UGC REGULATIONS 2018**

**PERIOD OF ASSESSMENT: FROM \_\_\_\_\_ TO \_\_\_\_\_**

**APPLICATION FOR PROMOTION UNDER CAREER ADVANCEMENT SCHEME  
(CAS) FROM LEVEL \_\_\_\_\_ TO LEVEL \_\_\_\_\_**

(To be submitted in 3 sets along with all enclosures)

**PART A : GENERAL INFORMATION AND ACADEMIC BACKGROUND**

01.	Name (in Block letters)	:	
02.	Date and Place of Birth	:	
03.	Sex	:	
04.	Mother Name	:	
05.	Father Name	:	
06.	Marital status	:	
07.	Spouse Name	:	
08.	Nationality	:	
09.	Indicate whether you belong to SC/ST/MBC/BC category (include sub category if applicable)	:	
10.	Department	:	
11.	Current Designation & Level	:	
12.	Date of last Promotion	:	
13.	Position and level applied	:	

14.	Date of eligibility for promotion :			
15.	Address (in Block letters)			
a. For Communication	Mr. /Ms. /Dr. ....			
	.....			
	.....			
	.....PINCODE:.....			
	Telephone No. with STD Code:.....			
Mobile No: .....				
E-mail: .....				
b. Permanent	Mr. /Ms. /Dr. ....			
	.....			
	.....			
	.....PINCODE:.....			
16. Academic Qualifications (Starting from Under Graduation) :				
Degree	Name of the University	Year of Passing	% of marks / CGPA	Subject(s) (Major subject)

**17. Research Degree(s)Details :**

Degree	Title	Date of Award	University/Authority
M.Phil.			
Ph.D.			
D.Sc. / D. Litt,			
Other Exams, if any			

**18. Positions held prior to joining this Institution**

Designation	Name of the Employer	Date of		Pay with Grade/level	Reason for leaving
		Joining	Leaving		

**19. Position held after appointment in this Institution**

Designation	Department	Period		Pay band with AGP	Pay Level
		From	To		

20. Teaching Experience: # P.G.: \_\_\_\_\_ Years; U.G.: \_\_\_\_\_ Years (MM/YY)  
 (# as on the last date mentioned in the advertisement)

21. Research Experience excluding years spent in M.Phil. / Ph.D. (MM/YY):

**22. Orientation /Refresher Course attended**

Name of the Course/Summer School	Organizer & Place	Duration		Sponsoring Agency
		From	To	

**PART B: PROFOMÁ FOR PBAS BASED ACADEMIC PERFORMANCE INDICATORS**

*(Please see Table 1 of Appendix II of the UGC Regulation, 2018)*

**TABLE 1.1: TEACHING IN EACH ACADEMIC YEAR\*(During Assessment Period)**

Sl. No.	Academic Year	Semester	Course / Paper	No. of hours assigned per semester	Actual hours taken per semester	% of classes taken	Grading (for office use only)
	Year 1	Odd Sem					
		Even Sem					
	Year 2	Odd Sem					
		Even Sem					
	Year 3	Odd Sem					
		Even Sem					
	Year 4	Odd Sem					
		Even Sem					
	Year 5	Odd Sem					
		Even Sem					
	Year 6	Odd Sem					
		Even Sem					

*\*Certificate from the HOD should be enclosed*

*Note: Classes taught/taken includes tutorials, lab and other teaching related activities.*

**TABLE 1.2: INVOLVEMENT IN UNIVERSITY STUDENTS RELATED ACTIVITIES / RESEARCH ACTIVITIES**

**(Details/Evidence should be produced only for the assessment period)**

- a) Administrative responsibility (including as HOD, Dean/Principal/Chairperson / Convener/ Director/Coordinator/Warden/Deputy Warden other duties that require regular office hours for its discharge)

Sl. No.	Nature of Responsibility	Duration	
		From	To

- b) Examination and Evaluation duties assigned and performed

Sl. No.	Type of examination duties	Month/Year	Duties Performed

- c) Student related co-curricular, extension and field-based activities, such as student clubs, career counselling, study visits, student seminars and other events, cultural, sports, NCC, NSS, YRC and community services

Sl. No.	Nature of Responsibility	Duration		Activities
		From	To	

- d) Organizing seminars / conferences / workshops and other University activities

Sl. No.	Name of the Event	Duration		Responsibility carried out
		From	To	

- e) Evidence of actively involved in guiding Ph.D students

Sl. No.	Programme	Students Enrolled	Thesis Submitted	Degree awarded
	M. Phil.			
	Ph. D.			

**f) Conducting research projects sponsored by national and international agencies**

Sl. No.	Title	Funding Agency	Amount Sanctioned	Period	
				From	To

**g) Provide one best single/joint publication in peer reviewed or UGC CARE list of journals**

Sl. No.	Title, Journal with Volume, Year and Page nos.	ISSN



## TABLE 2: RESEARCH, PUBLICATIONS AND ACADEMIC CONTRIBUTIONS

### 1. RESEARCH PAPERS PUBLISHED IN PEER REVIEWED / UGC CARE LISTED JOURNALS\*:

Sl. No.	Title, Journal with Volume, Year and Page nos.	ISSN	PEER Reviewed/ UGC CARE	Impact Factor (Thompson Reuters)	Sole / first author or corresponding author	No. of co-authors	API Score Claimed*	API Score Awarded (For office use only)
<b>TOTAL</b>								

\*8 Points per paper – Science disciplines

10 Points per paper – other disciplines

**Research score for research papers would be augmented as follows:**

Peer-Reviewed or UGC-listed Journals (Impact factor to be determined as per Thomson Reuters list):

- i) Paper in refereed journals without impact factor - 5 Points
- ii) Paper with impact factor less than 1 - 10 Points
- iii) Paper with impact factor between 1 and 2 - 15 Points
- iv) Paper with impact factor between 2 and 5 - 20 Points
- v) Paper with impact factor between 5 and 10 - 25 Points
- vi) Paper with impact factor >10 - 30 Points

(a) **Two authors:** 70% of total value of publication for each author.

(b) **More than two authors:** 70% of total value of publication for the First/Principal/Corresponding author and 30% of total value of publication for each of the joint authors.

### 2. PUBLICATIONS (OTHER THAN RESEARCH PAPERS)

a) **BOOKS / BOOK CHAPTERS/EDITED BOOKS AUTHORED WHICH ARE PUBLISHED BY INTERNATIONAL / NATIONAL PUBLISHERS, WITH ISBN/ISSN NUMBER**

#### i) BOOKS\*

Sl. No.	Title of the Book	Published Year	National/ International	Publisher Name	ISBN/ ISSN No.	Sole/first author/ co-author	API Score Claimed*	API Score Awarded (For office use)
<b>TOTAL</b>								

\*12 per Book for single/first author (International Publisher); 10 per Book for single/first author (National Publisher) – for Co-authors 50% of points

**ii) BOOK CHAPTERS in EDITED BOOKS**

Sl. No.	Chapter Title with page nos.	Book Title	ISSN / ISBN No.	Editor, Publisher, year	Sole / first author	No. of co-authors	API Score Claimed*	API Score Awarded (For office use only)
<b>TOTAL</b>								

*\* 5 per Chapter for single author, 50% for co-authors*

**iii) EDITED BOOKS**

Sl. No.	Title	Publisher & year of publication	ISSN / ISBN No.	Sole / first Editor	No. of co-editors	API Score Claimed*	API Score Awarded (For office use only)
<b>TOTAL</b>							

*\* 10 per Book for single/first Editor (International Publisher); 8 per Book for single/first Editor (National Publisher)- 50% for co-editors*

**b) TRANSLATION WORKS IN INDIAN AND FOREIGN LANGUAGES**

**i) CHAPTER OR RESEARCH PAPER**

Sl. No.	Title with page nos.	Book Title, editor, publisher & year	ISSN / ISBN No.	Sole / first author/co-author	API Score Claimed*	API Score Awarded (For office use only)
<b>TOTAL</b>						

**ii) BOOK**

Sl. No.	Title with page nos.	Book Title, editor, publisher & year	ISSN / ISBN No.	Sole / first author /co-author	API Score Claimed*	API Score Awarded (For office use only)
<b>TOTAL</b>						

*\* 3 per Chapter; 8 per Book for sole and first author / 50% for co-authors*

**3. CREATION OF ICT MEDIATED TEACHING LEARNING PEDAGOGY AND CONTENT DEVELOPMENT OF NEW AND INNOVATIVE COURSES AND CURRICULA**

**a) DEVELOPMENT OF INNOVATIVE PEDAGOGY**

Sl. No.	Name of Innovative Pedagogy Developed	Subject	API Score Claimed*	API Score Awarded (For office use only)
<b>TOTAL</b>				

*\* 5 for each*

**b) DESIGN OF NEW COURSES**

Sl. No.	Name of New Course	API Score Claimed*	API Score Awarded (For office use only)

*\*2 per new course*

**c) MOOCs**

**i) Development of complete MOOCs in 4 quadrants**

Sl. No.	Name of MOOCs Course	No. of Quadrants/Credits	Duration of Course	No. of module/lecture	Organizing Institute	API Score Claimed*	API Score Awarded (For office use only)
<b>TOTAL</b>							

*\*5 marks/credit*

**ii) MOOCs (developed in 4 quadrant) per module/lecture**

Sl. No.	Name of MOOCs Course	No. of Quadrants/Credits	Duration of Course	No. of module/lecture	Organizing Institute	API Score Claimed*	API Score Awarded (For office use only)
<b>TOTAL</b>							

*\*5 for each*

**iii) Content writer/subject matter expert for each module of MOOCs (at least one quadrant)**

Sl. No.	Name of MOOCs Course	No. of Quadrants/Credits	Duration of Course	No. of module/lecture	Organizing Institute	API Score Claimed*	API Score Awarded (For office use only)
<b>TOTAL</b>							

*\*2 for each*

**iv) Course Coordinator for MOOCs**

Sl. No.	Name of MOOCs Course	No. of Quadrants/Credits	Duration of Course	No. of module/lecture	Organizing Institute	API Score Claimed*	API Score Awarded (For office use only)
<b>TOTAL</b>							

*\*8 for each 4 credit course(In case of MOOCs of lesser credits 2 marks/credit)*

**d) E-CONTENT**

**i) Development of e-Content in 4 quadrants for a complete course/e-book**

Sl. No.	Name of the Module	No. of Quadrants/Credits	Duration of Course	No. of module/lecture	Organizing Institute	API Score Claimed*	API Score Awarded (For office use only)
<b>TOTAL</b>							

*\*12 for each*

**ii) e-Content (developed in 4 quadrants) per module**

Sl. No.	Name of the Module	No. of Quadrants/Credits	Duration of Course	No. of module/lecture	Organizing Institute	API Score Claimed*	API Score Awarded (For office use only)
<b>TOTAL</b>							

*\*5 for each*

**iii) Contribution to development of e-content module in complete course/paper/e-book (atleast one quadrant)**

Sl. No.	Name of the Module	No. of Quadrants/Credits	Duration of Course	No. of module/lecture	Organizing Institute	API Score Claimed*	API Score Awarded (For office use only)
<b>TOTAL</b>							

*\*2 for each*

**iv) Editor of e-content for complete course/ paper /e-book**

Sl. No.	Name of the Module	No. of Quadrants/Credits	Duration of Course	No. of module/lecture	Organizing Institute	API Score Claimed*	API Score Awarded (For office use only)
<b>TOTAL</b>							

*\*10 for each*

**4. a) RESEARCH GUIDANCE:**

	Awarded (No.)	Thesis Submitted (No.)	Supervisor/Guide or Joint Supervisor / Co-guide	API Score Claimed*	API Score Awarded (For office use only)
PhD					
M.Phil					
P.G. Dissertation					
<b>TOTAL</b>					

• *Ph.D - 10 per degree awarded; 5 per thesis submission*

• *M.Phil./P.G. Dissertation -2 per degree awarded*

*Note: For joint supervision of research students, the formula shall be 70% of the total score for Supervisor and Co-supervisor. Supervisor and Co-supervisor, both shall get 7 marks each.*

**b) RESEARCH PROJECTS COMPLETED:****i) More than 10 lakhs**

Sl. No.	Title	Agency	Period	Grant/Amount Mobilized (Rs. in lakhs)	API Score Claimed*	API Score Awarded (For office use only)
<b>TOTAL</b>						

*\* 10 per Project for PI/50% for co-PIs per project*

**ii) Less than 10 lakhs**

Sl. No.	Title	Agency	Period	Grant/Amount Mobilized (Rs. in lakhs)	API Score Claimed*	API Score Awarded (For office use only)
<b>TOTAL</b>						

*\* 5 per Project / 50% for co-PIs per project*

**c) RESEARCH PROJECTS ONGOING\*:****i) More than 10 lakhs**

Sl. No.	Title	Agency	Period	Grant/Amount Mobilized (Rs. in lakhs)	API Score Claimed*	API Score Awarded (For office use only)
<b>TOTAL</b>						

*\* 5 per Project / 50% for co-PIs per project*

**ii) Less than 10 lakhs**

Sl. No.	Title	Agency	Period	Grant/Amount Mobilized (Rs. in lakhs)	API Score Claimed*	API Score Awarded (For office use only)
<b>TOTAL</b>						

*\* 2 per Project / 50% for co-PIs per project*

**d) Consultancy**

Sl. No.	Title and Nature of consultancy	Agency	Period	Amount (Rs.)	API Score Claimed*	API Score Awarded (For office use only)
<b>TOTAL</b>						

*\*3 per consultancy*

**5. a) IPR (Patents, Copyrights, Designs etc.,)**

Sl. No.	Title	IPR Name and Number	National/International	Agency	Year of Award	API Score Claimed*	API Score Awarded (For office use only)
<b>TOTAL</b>							

*\*10 for International; 7 for National*

**b) Policy document (Submitted to an International body/organization like UNO/UNESCO/World Bank/International Monetary Fund etc. or Central / State Govt.)**

Sl. No.	Title	Agency	National / International / State	API Score Claimed*	API Score Awarded (For office use only)
<b>TOTAL</b>					

*\* 10 for each International / 7 for National/Central Govt. / 4 for State Govt.*

**C) Awards/Fellowship**

Sl. No	Title of Fellowship / Award	Year of Award	Academic body/ Association/Agency	National/ International	API Score Claimed*	API Score Awarded (For office use only)
<b>TOTAL</b>						

*\* 7 for International /5 for National*

**6. Invited lectures / Resource Person / Paper presentations in Seminars/Conferences/Full paper in Conference Proceedings\***

S.No	Title	Invited/Lead/Key Note/Resource person/ Presented	Title of Seminar / Conference / Workshop	International (Abroad) / International (within India) / National / State / University level	Place and Date	API Score Claimed*	API Score Awarded (For office use only)
			<b>TOTAL</b>				

*\*International (Abroad) - 7, International (within India) - 5, National - 3, State / University-level - 2*

*Note:*

- *Paper presented if part of edited book or proceeding then it can be claimed only once.*
- *\*\*For the purpose of calculating research score of the teacher, the combined research score from the categories of 5(b). Policy Document and 6. Invited lectures/Resource Person/Paper presentation shall have an upper capping of thirty percent of the total research score of the teacher concerned.*
- *The research score shall be from the minimum of three categories out of six categories.*

**SUMMARY OF API SCORES**

Item No.	Criteria	Total-API Score for Assessment Period
1.	Journal Publications	
2.	Books/Books Chapters	
3.	ICT	
4.	Research	
5.	IPR and Awards	
6.	Lectures (Invited etc.,)	

**PART C: OTHER RELEVANT INFORMATION**

**1. PLEASE GIVE DETAILS OF ANY OTHER CREDENTIAL, SIGNIFICANT CONTRIBUTIONS, AWARDS RECEIVED ETC. NOT MENTIONED EARLIER.**

Sl. No	Details ( Mention year, value etc. where relevant)

**1. Membership/fellowship in professional Bodies**

**2. Future plans (in 150 words)**

**LIST OF ENCLOSURES: (Please attach, copies of certificates, sanction orders, papers etc. Wherever necessary)**

1	6
2	7
3	8
4	9
5	10

**2. Detail about Departmental disciplinary actions/legal cases, if any**

I certify that the information provided is correct as per records available with the University and/or documents enclosed along with the duly filled PBAS proforma.

Place & Date:

Signature of the faculty with Designation

N.B: The individual PBAS proforma duly filled along with all enclosures, submitted for CAS promotions will be duly verified by the university/college as necessary and placed before the screening cum Evaluation Committee or Selection Committee for assessment/verification.



**3. Forwarded by Head of the Department:**

Certified that all the information/documents provided by Dr. \_\_\_\_\_  
was duly verified with original and found correct.

**Signature of the HOD**

**Ref.no.**

**Date:**

**Office Seal**

**4. Forwarded by Dean of Faculty :**

Certified that all the information provided by Dr. \_\_\_\_\_  
was duly verified.

**Signature of the Dean**

**Date:**

**Office Seal**

**5. Receipt and dispatch details of the Registrar Office :**

**Date of receipt from the Dean of Faculty :**

**Date of forwarded to the Director IQAC :**

**Registrar**

**Ref. no.**