No.PU/R/D1/001856/2016

Date:

From

The Registrar,
Periyar University,
Salem.

To

The Heads, University Departments, P.U.

Subject: Application form for promotion under UGC Career Advancement Scheme (Revised Pay Scales for Teachers, 2006)

Dear Sir/Madam,

The faculty members for promotions under CAS can send in their applications any time i.e. three months before they become eligible.

Applications are invited on the enclosed Academic Performance Indicator (API) based Performance Based Appraisal System (PBAS) proforma through the Heads of the Department, from teachers eligible for promotion to Assistant Professor/equivalent cadres from (stage 1 to stage 2, stage 2 to stage 3), Assistant Professor stage 3 to Associate Professor (stage 4), Associate Professor (stage 4 to Professor/equivalent cadres (stage 5) and Professor (Stage 5 to Stage 6)

U.G.C. Guidelines 2010

1.   The Ph.D.degree shall be a mandatory qualification for the appointment of Professors and for promotion as Professors. (3.7.0.)

2.   CAS promotions being a personal promotion to the incumbent teacher holding a substantive sanctioned post, on superannuation of the individual incumbent, the said post shall revert back to its original cadre. (6.3.8.)

3.   The incumbent teacher must be on the role and active service of the Universities/Colleges on the date of consideration by the Selection Committee for Selection/CAS promotion. (6.3.9.)

4.   Candidates shall offer themselves for assessment for promotion, if they fulfill the minimum API scores indicated in the appropriate API System tables by submitting an application and the required PBAS performa. Candidates who do not consider themselves eligible can also apply at a later date.

5.   **In the final assessment, if the candidates do not either fulfill the minimum API scores in the criteria as per PBAS performa or obtain less than 50% in expert assessment, wherever applicable, such candidates will be reassessed only after a minimum period of one year.** (6.3.11.)

6.   a)   If a candidate applies for promotion on completion of the minimum eligibility period and is successful, the date of promotion will be from that of minimum period of eligibility. (6.3.12).

     b) If, however, the candidates find that he/she fulfills the eligibility conditions at a later date and applies on that date and is successful, his/her promotion will be effected from that date of application fulfilling the criteria.

     c) If the candidate does not succeed in the first assessment, but succeeds in the eventual assessment, his/her promotion will be deemed to be from the later date of successful assessment.

Counting of Past Services for Direct Recruitment and Promotion under Career Advancement Scheme:

Previous regular service, whether national or international, as **Assistant Professor**, **Associate Professor** or **Professor** or equivalent in a University, College, National Laboratories or other scientific/professional Organizations such as the CSIR, ICAR, DRDO, UGC, ICSSR, ICMR, DBT, etc. should be counted for direct recruitment and promotion under CAS of a teacher as **Assistant Professor**, **Associate Professor**, **Professor** or any other nomenclature these posts are described as per Appendix III – Table No. II provided that:

a)   The essential qualifications of the post held were not lower than the qualifications prescribed by the UGC for Assistant Professor, Associate Professor and Professor as the case may be.

b)   The post is/was in an equivalent grade or of the pre-revised scale of pay as the post of Assistant Professor (Lecturer) Associate Professor (Reader) and Professor.

c)   The candidate for direct recruitment has applied through proper channel only.

d)   The concerned Assistant Professor, Associate Professor and Professor should possess the same minimum qualifications as prescribed by the UGC for appointment to the post of Assistant Professor, Associate Professor and Professor, as the case may be.

e)   The post was filled in accordance with the prescribed selection procedure as laid down in the Regulations of University/State Government/Central Government/Concerned Institution, for such appointments.

f)   The previous appointment was not as guest lecture for any duration, or an ad-hoc or in a leave vacancy of less than one year duration. Ad-hoc or temporary service of more than one year duration can be counted provided that:

    i)   the period of service was of more than one year duration;
    ii)   the incumbent was appointed on the recommendation of duly constituted Selection Committee; and
    iii)   the incumbent was selected to the permanent post in continuation to the ad-hoc or temporary service, without any break.

g)   No distinction should be made with reference to the nature of management of the institution where previous service was rendered (private/local body/Government), was considered for counting past service under this clause.

The teachers eligible for promotion under the Career Advancement Scheme should submit 3 copies of **Application Form (Annexure 2) along with Academic Performance Indicator (API) based Performance Based Appraisal System (PBAS)** (**Annexure -3**) duly filled in all respect and other relevant documents in support of their claim by the stipulated date.

REGISTRAR

Enclosures:

Annexure 1: Guidelines for CAS Promotions
Annexure 2: Application Performa for CAS
Annexure 3 : API on PBAS for CAS

Minimum Academic Performance and Service Requirements for Promotion of Teachers in Universities and Colleges:

Sr. No.	Promotion of Teacher through CAS	Service Requirement	Minimum Academic Performance Requirements and Screening/ Selection Criteria
1.	Assistant Professor/equivalent cadres from Stage 1 to State 2	Assistant Professor in Stage 1 and completed four years of service with Ph.D or five years of service who are with M.Phil, / PG Degree in Professional Courses such as LL.M, M.Tech. M.V.Sc., M.D. or six years of service who are without Ph.D./ M.Phil /PG Degree in Professional Courses	**i)** Minimum API scores using PBAS scoring Performa developed by the concerned university as per the norms provided in Table II (A) / (II (B) of Appendix III. **ii)** One Orientation and one Refresher/Research Methodology Course of 2/3 weeks duration. **iii)** Screening cum Verification process for recommending promotion.
2.	Assistant Professor/equivalent cadres from Stage 2 to State 3	Assistant Professor with completed service of five years in Stage 2	**i)** Minimum API scores using PBAS scoring Performa developed by the concerned university as per the norms provided in Table II(A)/(II(B) of Appendix III. **ii)** One course/programme from among the categories of refresher courses, methodology workshops, Training, Teaching-Learning-Evaluation Technology Programes and Faculty Development Programmes of 2/3 week duration. **iii)** Screening cum Verification process for recommending promotion.
3.	Assistant Professor (Stage 3 to Associate Professor (Stage 4)	Assistant Professors with three years of completed service in Stage 3	**i)** Minimum API scores using PBAS scoring Performa developed by the concerned university as per the norms provided in Table II(A)/(II(B) of Appendix III. ii) At least three publications in the entire period as Assistant Professor (twelve years). However, in the case of College teachers, an exemption of one publication will be given to M.Phil. holders and an exemption of two publications will be given to Ph.D.holders. iii) One Course/programme from among the categories of methodology workshops, Training, Teaching-Learning-Evaluation Technology Programmes, Soft skills development Programmes and Faculty Development Programmes of minimum one week duration. iv) A Selection Committee process as stipulated in this regulation and in Tables II(A) and II(B) of Appendix III.

4.	Associate Professor (Stage 4) Professor/equivalent cadres (stage 5)	Associate Professor with three years of completed service in Stage 4	**i)** Minimum yearly/cumulative API scores using PBAS scoring Performa developed by the concerned university as per the norms provided in Table II(A)/(II(B) of Appendix III. Teachers may combine two assessment periods (in stages 2 and 3) to achieve minimum API Scores, if required. ii) A minimum of 5 publications since the period that the teacher is placed in Stage 3. iv) A selection committee process as stipulated in this regulation and in Table II (A) and II(B) of Appendix III.
5.	Professor (Stage 5 to Professor (Stage 6)	Professor with ten years of completed service (universities only)	i) Minimum yearly/cumulative API scores for the assessment period as per the norms provided in Table II(A) of Appendix III. ii) Additional credentials are to be evidenced by: (a) post-doctoral research outputs of high standard; (b) awards / honours /recognitions /patents and IPR on product and processes developed / technology transfer achieved; and c) Additional research degrees like D.Sc.,D.Litt., LL.B., etc. iii) A review process by an Expert Committee as stipulated in this regulation and in Tables II(a) and II (b) of Appendix III

Note- I: CAS Promotions up-to 30.12.2008

Any candidate, who became eligible for promotion under CAS up to 30th December 2008, the promotion, will be governed by UGC Regulation 2000.

Note- II: CAS Promotion from 31st December 2008 to 30th June 2010

Any candidate, who became eligible for promotion under CAS on or after 31st December 2008, the promotion, will be governed by UGC Regulation 2010.

Note-III

As per UGC Regulation 2010, only cumulative points in category III are required in this period and points for category I & II will be applicable from academic session 2010-11. So, during this transitory period, the following guidelines will be applicable for promotion at various levels.

PERIYAR UNIVERSITY, PERIYAR PALKALAI NAGAR, SALEM - 11

Revised PBAS Proforma for promotion under UGC Career Advancement Scheme
(Revised Pay Scales for Teachers, 2006)

Application for promotion: Assistant Professor (Stage 1 to Stage 2, Stage 2 to Stage 3), Assistant Professor (Stage 3) to Associate Professor (Stage 4), Associate Professor (Stage 4) to Professor/equivalent cadres (Stage 5) and Professor (Stage 5 to Stage 6). From _____To _____

(Please indicate whichever is applicable)

Total API Score calculated as per Annexure 3: _____

Period of Assessment for the purpose of promotion: _____

1	Name (in Block Letters)	:
2	Father's Name/Mother's Name	:
3	Department	:
4	Current Designation & Grade Pay	:
5	Date of Last Promotion	:
6	Name the position and grade pay you are applying now under CAS	:
7	Date of eligibility for promotion	:
8	Date and Place of Birth	:
9	Sex	:
10	Nationality	:
11	Indicate SC/ST/MBC/BC/OC category	:
12	Address for correspondence (with Pincode)	:
13	Permanent Address (with Pincode)	:

13.a	Contact Number	:
13.b	E-Mail	:

14. Academic Qualification (Matric Onwards)

Examination	University	Year	% of marks obtained	Grade & Distinction
Matric / X				
Intermediate (10+2)				
B.A./B.Sc./B.Com.				
M.A./M.Sc./M.Com.				
M.Phil.				
Ph.D./D.Phil.				
D.Sc./D.Litt.				
Other Exams (if any)				

- In case of M.Phil/Ph.D. Examination, an attested copy of the degree & the result notification for the same is attached.

15. Record of academic service prior to joining Periyar University (please attach relevant certificates of service experience)

Institution	Designation	Essential qualifications for the post at the time of appointment	Nature of appointment (Regular/ Fixed term/ Temporary/ Adhoc)	Nature of Duties	Pay-Scale	Date of Joining	Date of Leaving	Reasons of Leaving

Please Indicate, whether in previous service: (Please tick)

a) The essential qualifications of the post held were not lower than the qualifications prescribed by the UGC. YES/NO
b) The post is/was in an equivalent grade or of the pre-revised scale of pay. YES/NO
c) Whether applied through proper channel. YES/NO
d) Whether possessed the same minimum qualifications as prescribed by the UGC for appointment to the post. YES/NO
e) The post was filled in accordance with the prescribed selection procedure as laid down in the Regulations of University/State Government/Central government/Concerned Institution, for such appointments. YES/NO
f) The previous appointment was not as guest lecture for any duration, or an ad-hoc or in a leave vacancy of less than one year duration. YES/NO

6

16. Record of service in Periyar University

Designation	Essential qualifications for the post at the time of appointment	Nature of appointment (Regular/ Fixed term/ Temporary/ Adhoc)	Nature of Duties	Pay-Scale	Date of Actual joining	Total period Yr. M. Days

17. **Period of teaching Experience: P.G. Classes (in Years)** ⬚

  U.G. Classes (in Years) ⬚

18. **Research Experience excluding years spent in M.Phil./Ph.D. (in Years)** ⬚

19. **Fields of Specialization under the Subject/Discipline**

  a)

  b)

20. **Academic Staff College Orientation/Refresher Course attended:**

Nature of the Course/Summer School	Place	Duration	Sponsoring Agency

  (Attach certificates)

21. List of Publication (for the purpose of evaluation):

      Sr.No.   Name of Publication   Name of publisher   Date of publication

      1.

      2.

      3.

      4.

      5.

22.   Any other relevant information: Attach separate sheet.

23.   FUTURE PLANS

    (Please provide a brief resume of your future plans for teaching and research)

    i)   Teaching:

    ii)   Research:

Date_____   **Signature**

<div align="center">

COUNTERSIGNED

Head of the Department
Periyar University
(Office Stamp)

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PART B: ACADEMIC PERFORMANCE INDICATORS

(Please see detailed instructions of this PBAS proforma before filling out this section)

CATEGORY: I. TEACHING, LEARNING AND EVALUATION RELATED ACTIVITIES

Brief Explanation: Based on the teacher's self-assessment, API scores are proposed for (a) teaching related activities; (b) domain knowledge; (c) participation in examination and evaluation; (d) contribution to innovative teaching, new courses etc. The minimum API score required by teachers from this category is 75. The self-assessment score should be based on objectively verifiable criteria wherever possible and will be finalized by the screening/selection committee.

Universities will be required to detail the activities and in case institutional specificities require, adjust the weightages, without changing the minimum total API scores required under this category.

S. No.	Nature of activity	Maximum score
1	Lectures, seminars, tutorials, practicals, contact hours undertaken taken as percentage of lectures allocated	50
2	Lectures or other teaching duties in excess of the UGC norms	10
3	Preparation and Imparting of knowledge/instruction as per curriculum; syllabus enrichment by providing additional resources to students	20
4	Use of participatory and innovative teaching-learning methodologies; updating of subject content, course improvement etc.	20
5	Examination duties (Invigilation; question paper setting, evaluation/assessment of answer scripts) as per allotment.	25
	Total Score	125
	Minimum API Score Required	75

Note: 1: Lectures and tutorials allocation to add up to the UGC norm for particular category of teacher. University may prescribe minimum cut-off (net of due leave), say 80% for 1 and 5 above, below which no score may be assigned in these sub-categories.

Note 2 :

The model table proposes API Scores and the mode for awarding these scores for various parameters of Category I of PBAS.

1. Wherever the unit of assessment is the number of hours, the teacher is required to compute the total number of hours allocated as per the time-table or the actual number of hours spent in that activity in the previous academic year. The institution can verify these from the official Time Table and the record of students attendance.
2. In calculating the number of hours allocated, only working days/weeks will be taken into account. For example, if a teacher has been assigned 20 hours of classroom teaching per week in an institution that teachers for 16 weeks per semester, the teacher would write 320 hours (plus another 320 hours if his/her teaching load is the same in the second semester) in the row 1A(i). Since this is 2 hours higher than the UGC norm, s/he would claim additional 2 x 16 hours in row 1A (ii). If s/he has actually taught for 275 hours in that semester, s/he would claim 275 hours in row 1A (iii). So, in all, she would get credit for 320+32+275 = 627 hours for that semester, S/he would do similar calculation for the second semester and the total would be entered in each row.
3. In most sub-categories, the total score of a teacher may exceed the maximum score permitted against the relevant sub-total. In that case, the score of the teacher will be credited the maximum score. For example, a teacher who marks 900 scripts can get credit for 300 hours and may have spent another 40 hours on examination duty. This adds up to 340 hours = 34 points. But s/he will be awarded the maximum of 20 points in that category.
4. Wherever the criterion involves an assessment by the screening committee, the teacher will be required to submit some evidence of work done. Each institution may further develop these criteria and spectify the requirements for the various categories mentioned here.
5. Under 4c, the teacher is only required to give a proof that s/he administered an anonymous feedback questionnaire wherein the students can give their assessment of the quality of his/her teaching. S/he would be entitled to the points irrespective of the content of the content of the feedback. The comments given by the students may not be used against the teacher in this exercise.

Category	Nature of activity	Notes	Unit of assessment	Score	
Category I	TEACHING, LEARNING ANND EVALUATION RELATED ACTIVITIES			To be filled by the applicant	To be checked by the University
1A (i)	Classroom teaching (including lectures, seminar)	As per allocation	Hours per academic year		

1A (ii)	Classroom teaching (including lectures, seminar) in excess of UGC	As per allocation	Hours per academic year		
1A (iii)	Classroom teaching (including lectures, seminar) preparation time	Same as actual teaching hours as per attendance register	Hours per academic year		
1B	Tutorials and Practicals	Actuals as per attendance register	Hours per academic year		
1C	Outside classroom interaction with students	Max 0.5 of hours in 1A	Hours per academic year		
	Sub-total 1	**Score = hours/10 (max score 100)**			

2	Research Supervision (including Masters thesis)	Max 1 hour per student per working week	Hours per academic year		
	Sub-total 2	**Score = hours/10 (max score 30)**			
3A	Question paper setting, moderation and related work	Actual hours	Hours per academic year		
3B	Invigilation/supervision and related examination duties	Actual hours	Hours per academic year		
3C	Evaluation/assessment of answer scripts and assignments related to internal assessment, external and re evaluation	Max 20 minutes per full script	Hours per academic year		
	Sub-total 3	**Score = hours/10 (Max score = 20)**			

4A	Teaching innovation including preparation of innovative course, use of innovative methodologies for teaching including bilingual/multi-lingual teaching	Evidence to be provided. Scores to be finalized by the screening committee	Outstanding = 10 Very good = 7 Good = 5 Average = 3 Modest = 1		
4B	Preparation of new teaching-learning material including translation, bridge material, study pack or similar additional resource for students	Evidence to be provided. Scores to be finalized by the screening committee	Outstanding = 10 Very good = 7 Good = 5 Average = 3 Modest = 1		
4C	Use of anonymous students' feedback on the quality of classroom teaching and students interaction	Performa and summary feedback to be attached	2 points per course (max 10 points)		

Minimum score required for promotion: 150 out of a total of 250 from category I and II, at least 100 (from Maximum of 180) from category I and 20 (from maximum of 70) from category II.

CATEGORY – II : CO-CURRICULAR, EXTENSION AND PROFESSIONAL DEVELOPMENT RELATED ACTIVITIES :

Brief Explanation : Based on the teacher's self-assessment, Category II API score are proposed for co-curricular and extension activities; and Professional development related contributions. The minimum API required by the teachers for eligibility for promotion is 15. A list of items and proposed scores is given below. It will be noticed that all teachers can earn scores from a number of items, whereas some activities will be carried out only by one of a few teachers. The list of activities is board enough for the minimum API score required (15) in this category to accrue to all teachers. As before, the self-assessment score should be based on objectively verifiable criteria and will be finalized by the screening/selection committee.

The model table below gives groups of activities and API scores. Universities may detail the activities or, in case institutional specificities require, adjust the weightages, without changing the minimum total API scores required under this category.

S. No.	Nature of activity	Maximum score
1	Student related co-curricular, extension and field based activities (such as extension work through NSS/ NCC and other channels, cultural activities, subject related events, advisement and counseling)	20
2	Contribution to Corporate life and management of the department and institution through participation in academic and administrative committees and responsibilities	15
3	Professional Development activities (such as participation in seminars, conferences, short term training courses, talks, lectures, membership of associations, dissemination and general articles, not covered in Category III below)	15
	Minimum API Score Required	15

Note:

The model table proposes API Scores and the mode for awarding these scores for various parameters of Category II of PBAS.

1. Wherever the unit of assessment is the number of hours, the teacher is required to compute the total number of hours allocated as per the time-table or the actual number of hours spent in that activity in the previous academic year. The institution can verify these from the official Time Table and the record of students attendance.

2. In calculating the number of hours allocated, only working days/weeks will be taken into account. For example, if a teacher has been assigned 20 hours of classroom teaching per week in an institution that teachers for 16 weeks per semester, the teacher would write 320 hours (plus another 320 hours if his/her teaching load is the same in the second semester) in the row 1A(i). Since this is 2 hours higher than the UGC norm, s/he would claim additional 2 x 16 hours in row 1A (ii). If s/he has actually taught for 275 hours in that semester, s/he would claim 275 hours in row 1A (iii). So, in all, she would get credit for 320+32+275 = 627 hours for that semester, S/he would do similar calculation for the second semester and the total would be entered in each row.

3. In most sub-categories, the total score of a teacher may exceed the maximum score permitted against the relevant sub-total. In that case, the score of the teacher will be credited the maximum score. For example, a teacher who marks 900 scripts can get credit for 300 hours and may have spent another 40 hours on examination duty. This adds up to 340 hours = 34 points. But s/he will be awarded the maximum of 20 points in that category.
4. Wherever the criterion involves an assessment by the screening committee, the teacher will be required to submit some evidence of work done. Each institution may further develop these criteria and specify the requirements for the various categories mentioned here.
5. Under 4c, the teacher is only required to give a proof that s/he administered an anonymous feedback questionnaire wherein the students can give their assessment of the quality of his/her teaching. S/he would be entitled to the points irrespective of the content of the content of the feedback. The comments given by the students may not be used against the teacher in this exercise.

Category	Nature of activity	Notes	Unit of assessment	Score	
Category II	CO-CURRICULAR, EXTENSION AND DEVELOPMENT RELATED ACTIVITIES		PROFESSIONAL	To be filled by the applicant	To be checked by the University
5A	Discipline related co-curricular activities (e.g field work, study visit, student seminar, events, career counseling etc)	Evidence to be provided Scores to be finalized by the screening committee	Outstanding = 10 Very good = 7 Good = 5 Average = 3 Modest = 1		
5B	Other co-curricular activities (Cultural, Sports, NSS, NCC etc)	Evidence to be provided Scores to be finalized by the screening committee	Outstanding = 10 Very good = 7 Good = 5 Average = 3 Modest = 1		

5C	Extension and dissemination activities (public lectures, talks, seminars, popular writings not covered under III)	Evidence to be provided Scores to be finalized by the screening committee	Outstanding = 10 Very good = 7 Good = 5 Average = 3 Modest = 1		
	Sub-total 5				
6A	Administrative responsibility (including Dean, Principal, Chairperson, Convenor, Teacher-in-charge or similar duties that require regular office hours for its discharge)	Actual hours spent	Hours per academic year		
6B	Participation in Board of Studies, Academic and Administrative Committees	Actual hours spent	Hours per academic year		
	Sub-total 6	**Score = hours/10 (Max score = 30)**			
7	Overall contribution to the collective/corporate life of the institution (including 5,6 and any other contribution)	Evidence to be provided Scores to be finalized by the screening committee	Outstanding = 10 Very good = 7 Good = 5 Average = 3 Modest = 1		
	GRAND TOTAL (1 TO 7)	**(OUT OF 250)**			

Minimum score required for promotion: 150 out of a total of 250 from category I and II, at least 100 (from Maximum of 180) from category I and 20 (from maximum of 70) from category II.

PBAS Proforma for calculating API SCORE (Category III)
(Research & Academic Contributions)

Note: **Please read the instructions given at the end of Proforma for calculating API Score in Category III.**

CATEGORY – III : RESEARCH AND RELATED CONTRIBUTIONS :

Brief Explanation : Based on the teacher's self-assessment, API scores are proposed for research and academic contributions. The minimum API score required by teachers from this category is different for different levels of promotion and between university and colleges. The self-assessment score will be based on verifiable criteria and will be finalized by the screening/selection committee.

III(A)	RESEARCH PAPERS PUBLISHED :					
	Title of the research paper published	Nature of publication : Journal or Conference proceedings as full paper (Abstract not to be included)	Type of journal : Refereed* or Non-Refereed (Name the journal also) ISBN/ISSN	If Non-Refereed, is it recognized and reputable journal and periodicals having ISBN/ISSN numbers	Score	
					To be filled by the applicant	To be checked by the University

* Wherever relevant to any specific discipline, the API score for paper in refereed journal would be augmented as follows: (i) indexed journals - by 5 points; (ii) papers with impact factor between 1 and 2 by 10 points; (iii) papers with impact factor between 2 and 5 by 15 points; (iv) papers with impact factor between 5 and 10 by 25 points. The evidence of Impact factor must be submitted by the applicant.

Scoring awarding criteria of the University : For refereed journal = 15 / publication; **for non-refereed but recognized and reputable journal and periodicals having ISBN/ISSN numbers** = 10 / publication; **for conference proceedings as full paper (abstract not to be included)** = 10 / publication

III(B)	**RESEARCH PUBLICATIONS (Books, chapters in books, other than refereed journal articles) :**			
	Nature of activity	**Details of the activity to be provided by the applicant (Name the book, chapter etc as applicable and the publishers and address)**	**Score**	
			To be filled by the applicant	To be checked by the University
	(a) Text or Reference Books Published by International Publishers with an established peer review system			
	(b) Subjects Books by National level publishers/ State and Central Govt. Publications with ISBN / ISSN numbers			
	(c) Subjects Books by other local publishers with ISBN / ISSN numbers			
	(d) Chapters contributed to edited knowledge based volumes published by International Publishers			
	(e) Chapters in knowledge based volumes in Indian/National level publishers with ISBN /ISSN numbers and with numbers of national and international directories			

Scoring awarding criteria of the University : For (a) = 50 / sole author and 10 / chapter in an edited book; **for (b)** = 25 / sole author and 5 / chapter in edited books; **for (c)** = 15 / sole author and 3 / chapter in edited books; **for (d)** = 10 / chapter; **for (e)** = 5 / chapter

III(C)	**RESEARCH PROJECTS :**				
	Nature of activity	**Details of activity**	**Details of the activity to be provided by the applicant (title of the project and name of the funding agency to be given)**	**Score**	
				To be filled by the applicant	To be checked by the University
	(i) Sponsored Projects carried out / ongoing :	(a) Major Projects amount mobilized with grants **above Rs. 30.0 lakhs** (Sciences/ Engg./ Agri./ Vet.Sc./ Med. Sc.) **OR** Major Projects amount mobilized with grants **above Rs. 5.0 lakhs** (Fac. of Lang./ Arts/ Human./ Soc. Sc./ Libr./ Phy. Edn./ Management) **(AS APPLICABLE)**			
		(b) Major Projects amount mobilized with grants **above Rs. 5.0 lakhs up to Rs. 30.00 lakhs** (Sciences/ Engg./ Agri./ Vet.Sc./ Med.			

		Sc.) **OR** Major Projects Amount mobilized with **minimum of Rs. 3.00 lakhs up to Rs. 5.00 lakhs** (Fac. of Lang./ Arts/ Human./ Soc. Sc./ Libr./ Phy. Edn./ Management) **(AS APPLICABLE)**			
		(c) Minor Projects (Amount mobilized with grants **above Rs. 50,000 up to Rs. 5.00 lakhs**) (Sciences/ Engg./ Agri./ Vet.Sc./ Med. Sc.) **OR** Minor Projects (Amount mobilized with grants **above Rs. 25,000 up to Rs. 3.00 lakhs**) (Fac. of Lang./ Arts/ Human./ Soc. Sc./ Libr./ Phy. Edn./ Management) **(AS APPLICABLE)**			

(ii) Consultancy Projects carried out / ongoing :	Amount mobilized with **minimum of Rs. 10.00 lakhs** (Sciences/ Engg./ Agri./ Vet.Sc./ Med. Sc.) **OR** Amount mobilized with **minimum of Rs 2.00 lakh**s (Fac. of Lang./ Arts/ Human./ Soc. Sc./ Libr./ Phy. Edn./ Management **(AS APPLICABLE)**			
(iii) Completed projects : Quality Evaluation :	Completed projects report (Accepted by funding agency)			

	(iv) Projects : Outcome / Outputs :	Patent/ Technology Transfer/ Product/ Process (Sciences/ Engg./ Agri./ Vet.Sc./ Med. Sc.) **OR** Major Policy document of Govt. Bodies at Central and State level (Fac. of Lang./ Arts/ Human./ Soc. Sc./ Libr./ Phy. Edn./ Management **(AS APPLICABLE)**			

Scoring awarding criteria of the University : For (i) (a) = 20 / project; **for (i) (b)** = 15 / project; **for (i) (c)** = 10 / project; **for (ii)** = 10 / Rs. 10.00 lakhs and 2.00 lakhs respectively; **for (iii)** = 20 / major project and 10 / minor project; **for (iv)** = 30 / national level output or patent and 50 / international level

III(D)	RESEARCH GUIDANCE :				
	Nature of activity	**Details of activity**	**Details of the activity to be provided by the applicant (brief title of the thesis and name of the students to be given)**	**Score**	
				To be filled by the applicant	To be checked by the University
	(i) M.Phil	Degrees awarded only			
	(ii) Ph.D	Degree awarded			
	(iii) Ph.D	Thesis submitted			
S	**Scoring awarding criteria of the University : For (i)** = 3 / candidate; **for (ii)** = 10 / candidate; **for (iii)** = 7 / candidate				

III(E)	TRAINING COURSES AND CONFERENCE / SEMINAR / WORKSHOP PAPERS :				
	Nature of activity	**Details of activity**	**Details of the activity to be provided by the applicant (name of the students to be given)**	**Score**	
				To be filled by the applicant	To be checked by the University
	(i) Refresher courses, Methodology workshops, Training, Teaching-Learning-Evaluation Technology Programmes, Soft Skills development Programmes, Faculty Development Programmes (Max: 30 points)	(a) Not less than two weeks duration			
		(b) One week duration			
	(ii) Papers in Conferences / Seminar / Workshop etc **	(a) participation and presentation of research papers (Oral / Poster) in International Conference			

		(b) participation and presentation of research papers (Oral / Poster) in National Conference			
		(c) participation and presentation of research papers (Oral / Poster) in Regional / state level Conference			
		(d) participation and presentation of research papers (Oral / Poster) in Local- University level Conference			
	(iii) Invited lectures or presentation for conferences / symposia	(a) International			
		(b) National level			

**   If a paper presented in Conference/Seminar is published in the form of Proceedings, the points would accrue for the publication [III (a)] and not under presentation [III (e)(ii)].

Scoring awarding criteria of the University : For (i) (a) = 20 each (Maximum = 30); **for (i) (b)** = 10 each (Maximum = 30); **for (ii) (a)** = 10 each; **for (ii) (b)** = 7.5 **each; for (ii) (c)** = 5 each; **for (ii) (d)** = 3 each; **for (iii) (a)** = 10 each; **for (iii) (b)** = 5 each

Notes :

i. It is incumbent on the Coordination Committee proposed in these Regulations and the University to prepare and publicize within six months subject-wise lists of journals, periodicals and publishers under categories IIIA and B. Till such time, screening/selection committees will assess and verify the categorization and scores of publications.

ii. The API for joint publications will have to be calculated in the following manner: Of the total score for the relevant category of publication by the concerned teacher, the first/Principal author and the corresponding author/supervisor/mentor of the teacher would share equally 60% of the total points and the remaining 40% would be shared equally by all other authors.

OTHER RELEVANT INFORMATION

Please give details of any other credential, significant contributions, awards received etc. not mentioned earlier.

S.N.	Details (Attach evidence wherever required)

   I certify that the information provided is correct as per records available with the University and documents enclosed along with the newly filled PBAS proforma.

19. **Declaration :**

I hereby declare that I have carefully read and understood the instructions and regulations referred here in and that all the statements made in this application are true and complete to the best of my knowledge and belief. I understand that the competent authority can take appropriate action against me in case any of the information is found to be incorrect at any stage.

                                        Signature of the applicant

   Date : ……………………….. **Name in full :** …………………………………………….

                                        Designation / Department: …………………………………

20. **Forwarding of concerned Head of the Department :** ………………………….…………………..

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            Signature of the HOD ………………….…………….

Memo no. …………………………   **Office Seal**

Date : ………………………..………

21. **Forwarding of concerned Dean of Faculty :** ……………………………………………………..

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            Signature of the Dean ……..………….

Date : …………………………….   **Office Seal**

22. **Receipt and dispatch details of the Establishment Branch :**

Date of receipt from the Dean of Faculty :……………………………….…………..

Date of dispatch to Co-ordinator, IQAC : ……………………………….…………….….

      Signature of the Supdt. Estb. Branch ……………………….………….

Memo no. …………………………   **Office Seal**

Date : ………………………..………

23. **Remark of the Co-ordinator IQAC of the University :**…………………………………………..

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      Signature of the Co-ordinator, IQAC ……………………….

Date : ………………………….…   **Office Seal**

24. **Date of receipt by Establishment Branch from the Co-ordinator, IQAC, PU :** ………………

CATEGORY-III: RESEARCH AND ACADEMIC CONTRIBUTIONS

Brief Explanation: Based on the teacher's self-assessment, API scores are proposed for research and academic contributions. The minimum API score required by teachers from this category is different for different levels of promotion and between university and colleges. The self-assessment score will be based on verifiable criteria and will be finalized by the screening/selection committee.

S.N.	APIs	Engineering/Agriculture/ Veterinary Science/Sciences/Medical Sciences	Faculties of Languages Arts/Humanities/Social Sciences/Library/ Physical education/Management	Max. points for University and college teacher position
III (A)	**Research Papers (Published in Journals)**	Refereed Journals*	Refereed Journals*	15 / Publication
		Non-refereed but recognized and reputable journals and periodicals, having ISBN/ISSN numbers.	Non-refereed but recognized and reputable journals and periodicals, having ISBN/ISSN numbers.	10 / Publication
		Conference proceedings as full papers, etc. (Abstracts not to be included)	Conference proceedings as full papers, etc. (Abstracts not to be included)	10 / Publication
III (B)	Research Publications (books, chapters in books, other than refereed journal articles)	Text or Reference Books Published by International Publishers with an established peer review system	Text or Reference Books Published by International Publishers with an established peer review system	50 /sole author; 10 /chapter in an edited book
		Subjects Books by National level publishers/State and Central Govt. Publications with ISBN/ISSN numbers.	Subjects Books by National level publishers/State and Central Govt. Publications with ISBN/ISSN numbers.	25 /sole author, and 5/ chapter in edited books
		Subject Books by Other local publishers with ISBN/ISSN numbers.	Subject Books by Other local publishers with ISBN/ISSN numbers.	15 / sole author, and 3 / chapter in edited books
		Chapters contributed to edited knowledge based volumes published by International Publishers	Chapters contributed to edited knowledge based volumes published by International Publishers	10 /Chapter
		Chapters in knowledge based Volumes by Indian/National level publishers with ISBN/ISSN numbers and with numbers of national and international directories	Chapters in knowledge based volumes by Indian/National level publishers with ISBN/ISSN numbers and with numbers of national and international directories	5 / Chapter
III C	**Research Projects**			
III C (i)	**Sponsored Projects carried out/ ongoing**	(a) Major Projects amount mobilized with grants above 30.0 lakhs	Major Projects amount mobilized with grants above 5.0 lakhs	20 /each Project
		(b) Major Projects amount mobilized with grants above 5.0 lakhs up to 30.00 lakhs	Major Projects Amount mobilized with minimum of Rs. 3.00 lakhs up to Rs. 5.00 lakhs	15 /each Project
		(c) Minor Projects (Amount mobilized with grants above Rs. 50,000 up to Rs. 5 lakh)	Minor Projects (Amount mobilized with grants above Rs. 25,000 up to Rs. 3 lakh)	10/each Project
III C (ii)	**Consultancy Projects carried out / ongoing**	Amount mobilized with minimum of Rs.10.00 lakh	Amount mobilized with minimum of Rs.2.00 lakhs	10 per every Rs.10.0 lakhs and Rs.2.0 lakhs, respectively

III C (iii)	**Completed projects : Quality Evaluation**	Completed project Report (Acceptance from funding agency)	Completed project report (Accepted by funding agency)	20 /each major project and 10 / each minor project
III C (iv)	**Projects Outcome / Outputs**	Patent/Technology transfer/ Product/Process	Major Policy document of Govt. Bodies at Central and State level	30 / each national level output or patent /50 /each for International level
III D	**Research Guidance**			
III D(i)	**M.Phil**	Degree awarded only	Degree awarded only	3 Points for each candidate
III D (ii)	**Ph.D**	Degree awarded only	Degree awarded only	10 Points for each candidate
		Thesis submitted	Thesis submitted	7 Points for each candidate
III E	**TRAINING COURSES AND CONFERENCE /SEMINAR/WORKSHOP PAPERS**			
III E (i)	Refresher courses, Methodology workshops, Training, Teaching-Learning-Evaluation Technology Programmes, Soft Skills development Programmes, Faculty Development Programmes (Max: 30 points)	(a) Not less than two weeks duration	(a) Not less than two weeks duration	20 points each
		(b) One week duration	(b) One week duration	10 points each
III E (ii)	Papers in Conference/ Seminars/ workshops etc.**	Participation and Presentation of research papers (oral/poster) in	Participation and Presentation of research papers (oral/poster) in	
		a) International Conference	a) International Conference	10 Points each
		b) National	b) National	7.5 Points each
		c) Regional/State level	c) Regional/State level	5 Points each
		d) Local –University/College level	d) Local –University/ College level	3 Points each
III E (iii)	Invited lectures or presentations for conferences/ / symposia	(a) International	(a) International	10 Points each
		(b) National level	(b) National level	5 Points each

*Wherever relevant to any specific discipline, the API score for paper in refereed journal would be augmented as follows: (i) indexed journals – by 5 points; (ii) papers with impact factor between 1 and 2 by 10 points; (iii) papers with impact factor between 2 and 5 by 15 points; (iv) papers with impact factor between 5 and 10 by 25 points.

** If a paper presented in Conference/Seminar is published in the form of Proceedings, the points would accrue for the publication (III (a)) and not under presentation (III (e)(ii)).

Note

1. The API for joint publications will have to be calculated in the following manner: Of the total score for the relevant category of publication by the concerned teacher, the first/Principal author and the corresponding author/supervisor/mentor of the teacher would share equally 60% of the total points and the remaining 40% would be shared equally by all other authors.

2. The parameters listed in table of category-III (Research and Academic contributions) shall have following capping in relation to the total API score claimed by the candidates:-

   *   III (A) : Research papers (Journals, etc)   30%

   *   III (B) : Research publications (Books, etc)   25%

   *   III (C) : Research Projects   20%

   *   III (D) : Research Guidance   10%

   *   III (E) : Training Courses and Conf/Seminar, etc.   15%